

# Evidential deep neural network in the framework of Dempster-Shafer theory

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# Problems in DNNs

- Deep neural networks (DNNs) achieve state-of-the-art results in many applications:
  - Object classification
  - Semantic segmentation
  - ...
- Such achievements are due to their reliable feature representations with multiple layers, which progressively extract high-level features from raw data.
- However, they still face the problems of data uncertainty.

# Data uncertainty

- ① Ambiguous raw data and their representations → incorrect decision

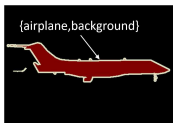


/cat or dog?

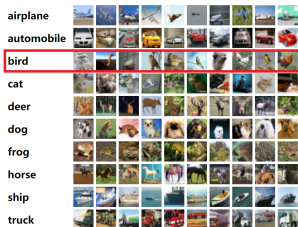


/cat species?

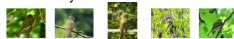
- ② Imprecise and unreliable data → effects on learning systems



- ③ Incomplete data → difficulty in novelty detection and model fusion



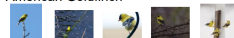
Acadian Flycatcher



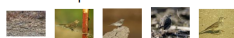
American Crow



American Goldfinch



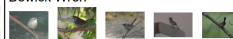
American Pipit



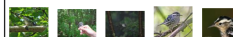
Belted Kingfisher



Bewick Wren



Black and white Warbler



Black billed Cuckoo



# Objectives

- Many theories have been combined with DNNs to solve these uncertainty problems:
  - Bayesian probability
  - Imprecise probability
  - Fuzzy sets
  - Dempster-Shafer (DS) theory
  - ...
- The DS theory of belief functions, also referred to as evidence theory, is applied to a wide range problems involving uncertainty in machine learning.

# Key features of DS theory in machine learning

**Generality:** DS theory is based on the idea of combining sets and probabilities. It extends both

- Probabilistic reasoning
- Propositional logic, computing with sets (interval analysis)

DS theory can do much more than sets or probabilities.

**Operationality:** DS theory is easily put in practice by breaking down the available evidence into **elementary pieces of evidence**, and combining them by a suitable operator called **Dempster's rule of combination**.

- We aim to develop **new DNNs based on DS theory** with the capacity to deal with data uncertainty.

# Outline

## ① Background

- Dempster-Shafer theory
- Deep neural network
- Evidential neural network

## ② Evidential deep neural networks

- Object classification
- Semantic segmentation

## ③ Evidential multi-model fusion

# Mass, belief, and plausibility functions

- Let  $\Omega = \{\omega_1, \dots, \omega_M\}$  be a class set called the **frame of discernment**.
- A **mass function** on  $\Omega$  is a mapping  $m : 2^\Omega \rightarrow [0, 1]$  such that

$$\sum_{A \subseteq \Omega} m(A) = 1.$$

If  $m(\emptyset) = 0$ ,  $m$  is said to be **normalized**.

- Every subset  $A \subseteq \Omega$  such that  $m(A) > 0$  is called a **focal set** of  $m$ .
- **Belief** and **plausibility** functions are defined as

$$Bel(A) = \sum_{B \subseteq A} m(B), \quad Pl(A) = \sum_{B \cap A \neq \emptyset} m(B).$$

# Dempster's rule of combination

- Two independent mass functions  $m_1$  and  $m_2$  on  $\Omega$  is combined as their **orthogonal sum**

$$(m_1 \oplus m_2)(A) := \frac{\sum_{B \cap C = A} m_1(B)m_2(C)}{1 - \sum_{B \cap C = \emptyset} m_1(B)m_2(C)}$$

for all  $A \neq \emptyset$  and  $(m_1 \oplus m_2)(\emptyset) = 0$ .

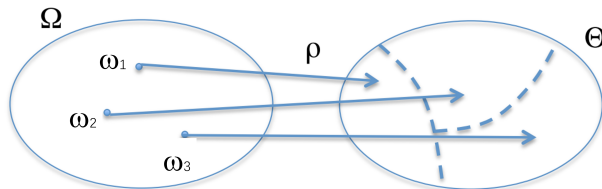
- Property w.r.t normalized contour function  $pl$ :

$$pl(\omega) = Pl(\omega), \quad \forall \omega \in \Omega.$$

$$p_m(\omega) := \frac{pl(\omega)}{\sum_{j=1}^M pl(\omega_j)},$$

$$p_{m_1 \oplus m_2}(\omega) \propto p_{m_1}(\omega)p_{m_2}(\omega), \quad \omega \in \Omega,$$

# Refinement



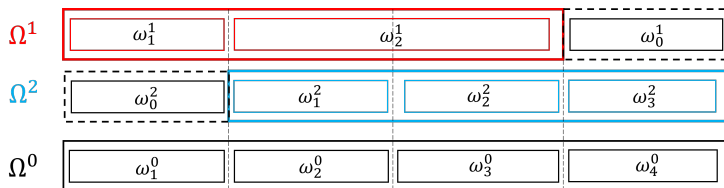
## Definition

A frame  $\Theta$  is a **refinement** of  $\Omega$  iff there is a mapping  $\rho : 2^\Omega \rightarrow 2^\Theta$  such that:

- $\{\rho(\{\omega\}), \omega \in \Omega\} \subseteq 2^\Theta$  is a partition of  $\Theta$ ,
- $\forall A \subseteq \Omega, \rho(A) = \bigcup_{\omega \in A} \rho(\{\omega\})$ .

# Compatible frames and vacuous extension

- Two frames of discernment are said to be **compatible** if they have a common refinement.
- In machine learning, add an “anything else” element in different frames to make them compatible.



- $m^{\Omega^1 \uparrow \Omega^0}$  is called the **vacuous extension** of  $m^{\Omega^1}$  on  $\Omega^0$ , such that

$$m^{\Omega^1}(\{\omega_1^1\}) = m^{\Omega^0}(\{\omega_1^0\}), \quad m^{\Omega^1}(\{\omega_2^1\}) = m^{\Omega^0}(\{\omega_2^0, \omega_3^0\}),$$

$$m^{\Omega^1}(\{\omega_0^1\}) = m^{\Omega^0}(\{\omega_4^0\}).$$

# Definitions and notations

- A decision problem with a set of **states of the nature**  $\Omega$  is formalized:
  - A set of **acts**  $\mathcal{F}$
  - A **utility function**  $u : \mathcal{F} \times \Omega \rightarrow \mathbb{R}$ , such that  $u_{f,\omega}$  is the utility of selecting act  $f \in \mathcal{F}$  when the true state is  $\omega$ .

	$u_{f_1,1}$	$u_{f_1,2}$	$u_{f_1,3}$	$\min u_{f_1,j}$	$\max u_{f_1,j}$
$f_1$	0.37	0.25	0.23	0.23	0.37
$f_2$	0.49	0.70	0.20	0.20	0.70

- With a mass function of DS theory  $m$  describing the uncertainty on  $\Omega$ , the **lower and upper expected utilities** of act  $f$  is defined as

$$\underline{\mathbb{E}}_m(f) = \sum_{B \subseteq \Omega} m(B) \min_{\omega_j \in B} u_{f,j}, \quad \overline{\mathbb{E}}_m(f) = \sum_{B \subseteq \Omega} m(B) \max_{\omega_j \in B} u_{f,j}.$$

- The **generalized Hurwicz expected utility** is a weighted average of lower and upper expected utilities

$$\mathbb{E}_{m,\nu}(f) = \nu \underline{\mathbb{E}}_m(f) + (1 - \nu) \overline{\mathbb{E}}_m(f).$$

# Precise and imprecise classification with belief functions

- A problem of **precise classification** can be formalized as
  - A set of acts  $\mathcal{F} = \{f_{\omega_1}, \dots, f_{\omega_M}\}$
  - A utility function  $u$  described by a utility matrix  $\mathbb{U}_{M \times M}$  with general term  $u_{ij}$

	Class		
	$\omega_1$	$\omega_2$	$\omega_3$
$f_{\omega_1}$	1	0	0
$f_{\omega_2}$	0	1	0
$f_{\omega_3}$	0	0	1

- A problem of **imprecise classification** can be formalized as
  - A set of acts is  $\mathcal{F} = \{f_A, A \in 2^\Omega \setminus \{\emptyset\}\}$
  - A utility function  $u$  described by a utility matrix  $\mathbb{U}_{(2^\Omega - 1) \times M}$  with general term  $\hat{u}_{A,j}$
- How to extend  $\mathbb{U}_{M \times M}$  to  $\mathbb{U}_{(2^\Omega - 1) \times M}$ ?

# Ordered weighted average aggregation

- Term  $\hat{u}_{A,j}$  is an **ordered weighted average aggregation** of the utilities of each precise assignment in  $A$  as

$$\hat{u}_{A,j} = \sum_{k=1}^{|A|} g_k \cdot u_{(k)j}^A.$$

- Parameters  $g_k$  are determined to maximize the entropy subject to

$$\sum_{k=1}^{|A|} \frac{|A| - k}{|A| - 1} g_k = \gamma.$$

- $\gamma$  measures the **tolerance to imprecision**; it controls the imprecision of the decisions:
  - $\gamma = 0.5$  gives the average (minimum tolerance degree)
  - $\gamma = 1$  gives the maximum (maximum tolerance degree)

# Example of $\mathbb{U}_{(2^{\Omega}-1) \times M}$ with $\gamma = 0.8$

	Classes		
	$\omega_1$	$\omega_2$	$\omega_3$
$f_{\{\omega_1\}}$	1	0	0
$f_{\{\omega_2\}}$	0	1	0
$f_{\{\omega_3\}}$	0	0	1
$f_{\{\omega_1, \omega_2\}}$	0.8	0.8	0
$f_{\{\omega_1, \omega_3\}}$	0.8	0	0.8
$f_{\{\omega_2, \omega_3\}}$	0	0.8	0.8
$f_{\Omega}$	0.682	0.682	0.682

# Outline

## ① Background

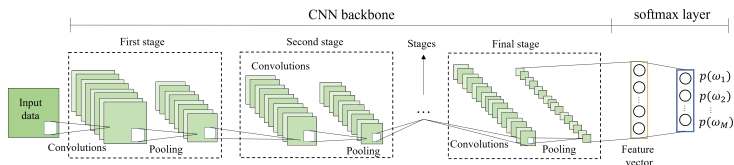
- Dempster-Shafer theory
- Deep neural network
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## ② Evidential deep neural networks

- Object classification
- Semantic segmentation

## ③ Evidential multi-model fusion

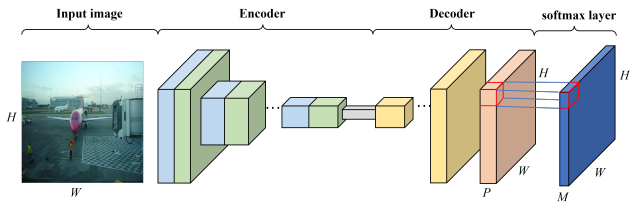
# Probabilistic CNN classifier for object classification



- A **CNN stage** is a combination of convolutional and pooling layers.
- A **CNN backbone** is composed of at least one stage for feature extraction.
- A **probabilistic CNN classifier** converts the feature vector from a backbone into a probability distribution using a softmax layer for decision-making.

# Probabilistic FCN model for semantic segmentation

- A FCN backbone (encoder-decoder architecture) extracts pixel-wise feature maps from an input image.



- An encoder-decoder architecture consists of
  - CNN stages to extract features from the input image
  - Upsampling layers to upsample features into pixel-wise feature maps
- How to transform the features from a backbone into mass functions?

# Outline

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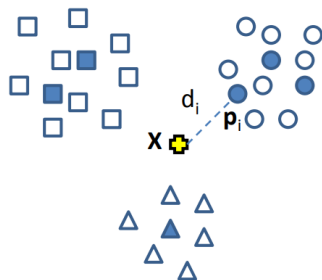
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# Principle



- A learning set is summarized by  $n$  prototypes in the form of feature vectors.
- Each prototype  $p^i$  has **membership degree**  $h_j^i$  to each class  $\omega_j$  with  $\sum_{j=1}^M h_j^i = 1$ .
- Each prototype  $p^i$  is a **piece of evidence** about the class of  $x$ ; its **reliability decreases with the distance  $d^i$**  between  $p^i$  and  $x$ .

# Propagation equations

- Mass functions associated to  $\mathbf{p}^i$ :

$$m^i(\{\omega_j\}) = h_j^i \tau^i \exp(-(\eta^i d^i)^2)$$

$$m^i(\Omega) = 1 - \tau^i \exp(-(\eta^i d^i)^2)$$

- Combination:

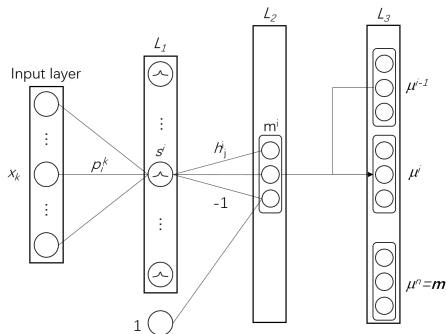
$$m = \bigoplus_{i=1}^n m^i$$

- The combined mass function:

$$\mathbf{m} = (m(\omega_1), \dots, m(\omega_M), m(\Omega))^T$$

# Evidential neural network (DS layer)

- Evidential classifier can be implemented as neural network layer, called a **DS layer**.



- The performance of an evidential classifier heavily depends on its input feature vector.

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- Evidential neural network

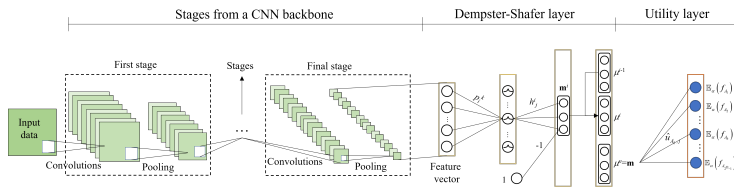
## ② Evidential deep neural networks

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# Network architecture

- Aim to solve the uncertainty problems in object classification.
- Basic idea: plug a “DS layer” at the output of a CNN backbone, called an “evidential CNN classifier (E-CNN)”.



- The decision-making process with mass functions and utility theory is implemented as a neural network layer, called a **utility layer**.
- The connection weights in the utility layer is  $\hat{u}_{A,j}$  and do not need to be updated during training because  $\hat{u}_{A,j}$  depends on the **tolerance to imprecision**  $\gamma$ .

# Learning

- Given a sample  $x$  with class label  $\omega_*$ , using the generalized Hurwicz criterion, the **prediction loss** is defined as

$$\mathcal{L}_\nu(m, \omega_*) = - \sum_{k=1}^M y_k \log \mathbb{E}_{m,\nu}(f_{\omega_k}) + (1 - y_k) \log(1 - \mathbb{E}_{m,\nu}(f_{\omega_k}))$$

where  $y_k$  equals 1 if  $\omega_k = \omega_*$ , otherwise 0.

- The loss  $\mathcal{L}_\nu(m, \omega_*)$  is minimized when  $\mathbb{E}_{m,\nu}(f_{\omega_k}) = 1$  for  $\omega_k = \omega_*$  and  $\mathbb{E}_{m,\nu}(f_{\omega_l}) = 0$  if  $\omega_l \neq \omega_*$ .

Examples	Outputs of a DS layer			
	$m(\{\omega_1\})$	$m(\{\omega_2\})$	$m(\{\omega_3\})$	$m(\Omega)$
#1	0.70	0.10	0.10	0.10
#2	0.97	0.01	0.01	0.01
#3	0.50	0.50	0	0
#4	0.40	0.40	0	0.2

Examples	Expected utility			Loss ( $\omega_* = \omega_1$ )
	$\mathbb{E}_{m,1}(\{\omega_1\})$	$\mathbb{E}_{m,1}(\{\omega_2\})$	$\mathbb{E}_{m,1}(\{\omega_3\})$	
#1	0.70	0.10	0.10	0.303
#2	0.97	0.01	0.01	0.026
#3	0.50	0.50	0	0.602
#4	0.40	0.40	0	0.796

- In practice, the error propagation can be performed automatically in TensorFlow.

# Evaluation metrics for classification performance

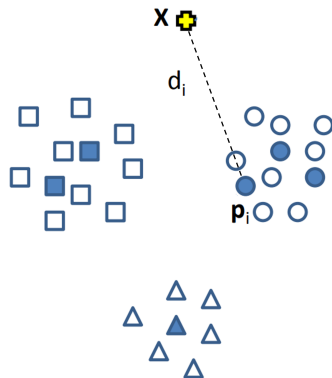
- **Averaged utility** measures the utilities of all assignments in testing set  $T$ :

$$AU(T) = \frac{1}{|T|} \sum_{i=1}^{|T|} \hat{u}_{A(i), y_i}$$

- When only considering precise acts,  $AU$  is equal to classification accuracy.
- **Averaged cardinality** measures the imprecision of the decisions in  $T$ :

$$AC(T) = \frac{1}{|T|} \sum_{i=1}^{|T|} |A(i)|$$

# Evaluation metrics for novelty detection



- An outlier  $x$  has large  $d^i$  to each prototypes.
- The DS layer outputs  $m(\Omega) \approx 1$  for  $x$ .
- The final decision is **act**  $f_\Omega$  for  $x$  and set  $\Omega$  means “everything”.
- A good classifier should have a high rate of assignment  $f_\Omega$  in an outlier testing set and a low rate of assignment  $f_\Omega$  in an inlier testing set.

# Dataset in the image-classification experiment

**CIFAR-10** to train and evaluate classification performance:

- 10 classes
- 5000 tiny images of each class for training and validation
- 1000 tiny images of each class for testing

**airplane**



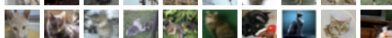
**automobile**



**bird**



**cat**



**deer**



**dog**



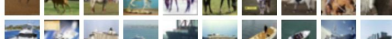
**frog**



**horse**



**ship**



**truck**

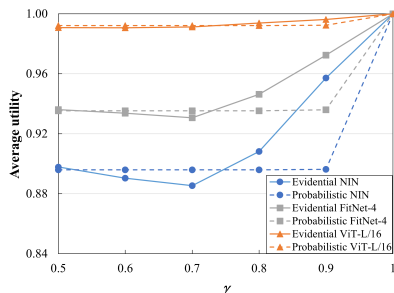
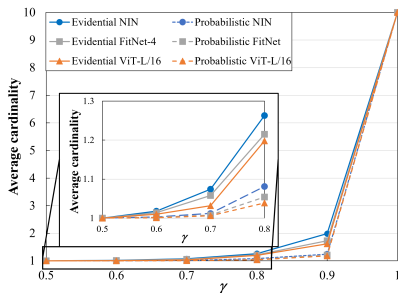


# Results of precise classification

NIN	FitNet-4	ViT-L/16
Input: $32 \times 32 \times 3$		
5 × 5 Conv. NIN 64 <i>ReLU</i>	16 × 16 × 3 × 4 patches	
	3 × 3 Conv. 32 <i>ReLU</i>	3 × 3 Conv. 32 <i>ReLU</i>
	3 × 3 Conv. 32 <i>ReLU</i>	3 × 3 Conv. 32 <i>ReLU</i>
	3 × 3 Conv. 32 <i>ReLU</i>	3 × 3 Conv. 32 <i>ReLU</i>
	3 × 3 Conv. 48 <i>ReLU</i>	3 × 3 Conv. 48 <i>ReLU</i>
	3 × 3 Conv. 48 <i>ReLU</i>	3 × 3 Conv. 48 <i>ReLU</i>
2 × 2 max-pooling with 2 strides		
5 × 5 Conv. NIN 64 <i>ReLU</i> 2 × 2 mean-pooling with 2 strides	3 × 3 Conv. 80 <i>ReLU</i>	3 × 3 Conv. 80 <i>ReLU</i>
	3 × 3 Conv. 80 <i>ReLU</i>	3 × 3 Conv. 80 <i>ReLU</i>
	3 × 3 Conv. 80 <i>ReLU</i>	3 × 3 Conv. 80 <i>ReLU</i>
	3 × 3 Conv. 80 <i>ReLU</i>	3 × 3 Conv. 80 <i>ReLU</i>
	3 × 3 Conv. 80 <i>ReLU</i>	3 × 3 Conv. 80 <i>ReLU</i>
2 × 2 max-pooling with 2 strides		
5 × 5 Conv. NIN 128 <i>ReLU</i> 2 × 2 mean-pooling with 2 strides	3 × 3 Conv. 128 <i>ReLU</i>	3 × 3 Conv. 128 <i>ReLU</i>
	3 × 3 Conv. 128 <i>ReLU</i>	3 × 3 Conv. 128 <i>ReLU</i>
	3 × 3 Conv. 128 <i>ReLU</i>	3 × 3 Conv. 128 <i>ReLU</i>
	3 × 3 Conv. 128 <i>ReLU</i>	3 × 3 Conv. 128 <i>ReLU</i>
	3 × 3 Conv. 128 <i>ReLU</i>	3 × 3 Conv. 128 <i>ReLU</i>
	8 × 8 max-pooling with 2 strides	4 × 4 max-pooling with 2 strides+position embedding
Average global pooling		Transformer encoder

Models	NIN		FitNet-4		ViT-L/16	
	Probabilistic	Evidential	Probabilistic	Evidential	Probabilistic	Evidential
Utility	0.8959	0.8978	0.9353	0.9361	0.9921	0.9908
p-value (McNemar's test)	0.0489		0.0492		0.0452	




# Results of imprecise classification



The **tolerance to imprecision**  $\gamma \in [0.5, 1.0]$  models the user's tolerance degree to imprecision:

- $\gamma = 0.5$  for precise classification
- $\gamma = 1$  for completely imprecise classification (all samples assigned to set  $\Omega$ )
- Higher  $\gamma$  corresponds to more imprecise decisions

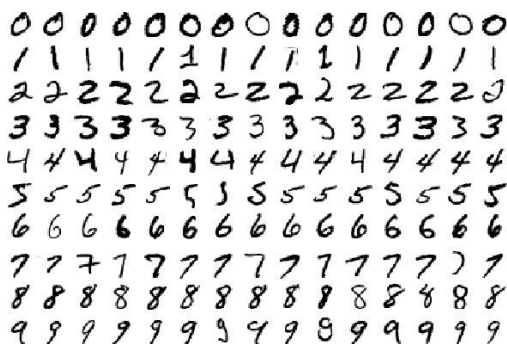
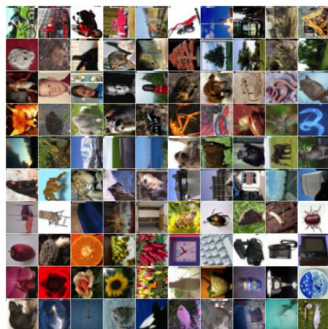
# Examples of precise and imprecise classification

	$\#1(\omega^* = \text{cat})$	$\#2(\omega^* = \text{dog})$	$\#3(\omega^* = \text{deer})$
$\gamma=0.5$	{dog}/0	{dog}/1	{deer}/1
$\gamma=0.6$	{cat,dog}/0.6	{cat,dog}/0.6	{deer}/1
$\gamma=0.7$	{cat,dog}/0.7	{cat,dog}/0.7	{deer,horse}/0.7
$\gamma=0.8$	{cat,dog}/0.8	{cat,dog}/0.8	{deer,horse}/0.8
$\gamma=0.9$	{cat,dog}/0.9	{cat,dog}/0.9	{cat,deer,dog,horse}/0.71
$\gamma=1.0$	$\Omega/1.0$	$\Omega/1.0$	$\Omega/1.0$
			

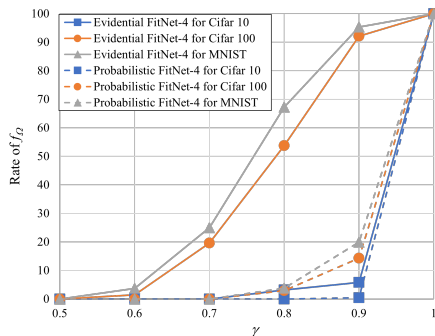
# Datasets for novelty detection

CIFAR-100 and MNIST for novelty-detection performance:

- 100 classes containing 600 images each in CIFAR-100
- 10 classes of handwritten digits containing 600 images each in MNIST



# Results of Novelty detection (FitNet-4 backbone)



- The classifiers were trained using the CIFAR-10 dataset; the outliers are from the CIFAR-100 and MNIST datasets.
- A sample is rejected as outlier if it is assigned to set  $\Omega$ .
- A good classifier has a high rate of assignment to  $\Omega$  in an outlier set and a low rate of assignment to  $\Omega$  in an inlier set.

# Conclusions about object classification

- Similar phenomena are also observed in the classification problems of **signal processing and semantic relationship**.
- Conclusions: our approach
  - Improves the CNN performance by assigning ambiguous patterns with uncertain information to multi-class sets.
  - Rejects outliers together with ambiguous patterns.
  - Outperforms the probabilistic CNN classifiers on imprecise classification and novelty detection.
  - Has similar or even better performance than the probabilistic classifiers on precise classification.

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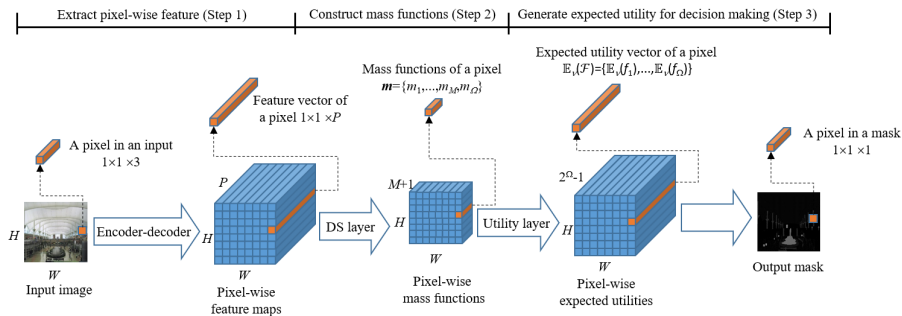
- Dempster-Shafer theory
- Deep neural network
- Evidential neural network

## ② Evidential deep neural networks

- Object classification
- Semantic segmentation

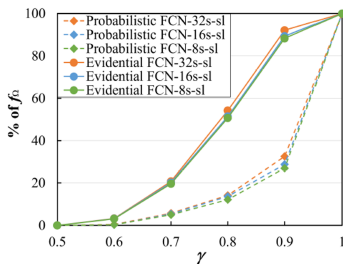
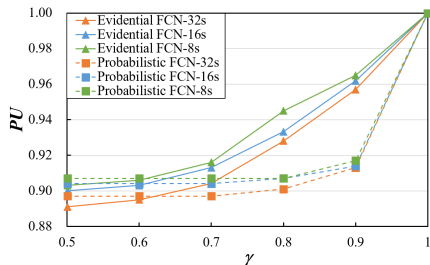
## ③ Evidential multi-model fusion

# Network architecture

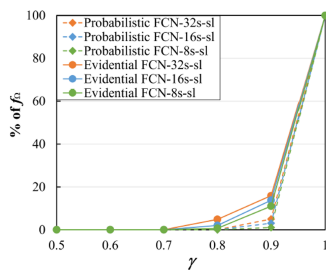


# Segmentation results (Pascal VOC)

	Pixel utility (PU)	Utility of IoU
P-FCN-32s	$0.8912 \pm 0.0019$	$0.5941 \pm 0.0033$
P-FCN-16s	$0.9001 \pm 0.0015$	$0.6243 \pm 0.0025$
P-FCN-8s	$0.9033 \pm 0.0017$	$0.6269 \pm 0.0021$
E-FCN-32s	<b><math>0.8973 \pm 0.0021</math></b>	<b><math>0.6128 \pm 0.0024</math></b>
E-FCN-16s	<b><math>0.9045 \pm 0.0014</math></b>	<b><math>0.6304 \pm 0.0019</math></b>
E-FCN-8s	<b><math>0.9074 \pm 0.0015</math></b>	<b><math>0.6337 \pm 0.0020</math></b>



(a) Outlier set



(b) Inlier set

# Segmentation examples on Pascal VOC

Raw image

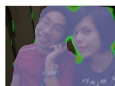
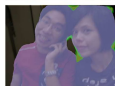
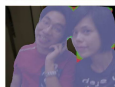
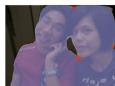
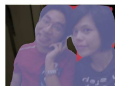
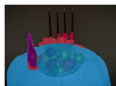
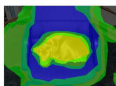
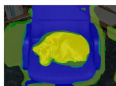
$\gamma=0.5$

$\gamma=0.6$

$\gamma=0.7$

$\gamma=0.8$

$\gamma=0.9$



# Outline

## ① Background

- Dempster-Shafer theory
- Deep neural network
- Evidential neural network

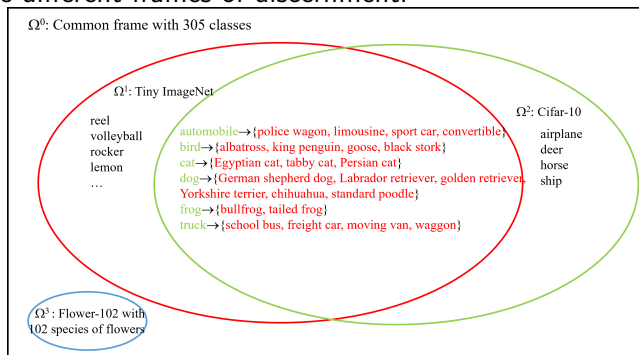
## ② Evidential deep neural networks

- Object classification
- Semantic segmentation

## ③ Evidential multi-model fusion

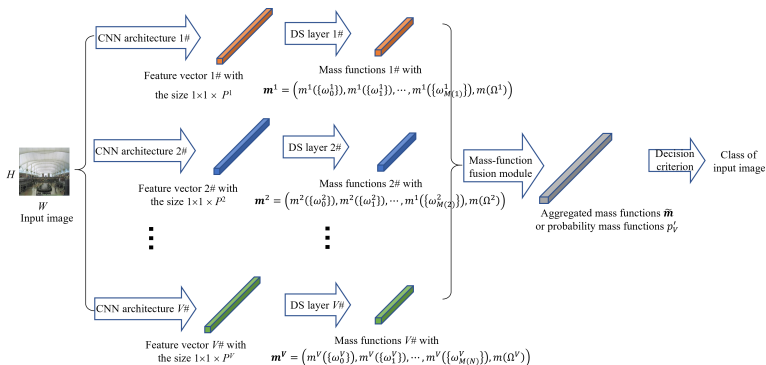
# Problem definition

- Many DNNs have been trained using different datasets. How to use these existing networks?
- This is a hard problem, because classifiers trained on different learning sets have different frames of discernment.



- Here, we focus on the fusion of the DNNs with different sets of classes.

# Evidential fusion approach (classification problem)



- A mass-function fusion module refines  $V$  different frames into a common one  $\Omega^0$  and computes the vacuous extensions of different masses in the common frame.
- The contour functions of these vacuous extensions are aggregated by Dempster's rule, as  $p_V$ .

# Compatible frames with an “anything else” elements

- Not all frames of discernment are compatible.
- We add an “anything else” elements  $\omega_0^v$  in the  $v$ -th frames,  $v = 1, \dots, V$ .

Frame	Class
CIFAR-10 $\Omega^1$	airplane, automobile, bird, cat, deer, dog, frog, horse, ship, truck, $\omega_0^1$ .
Tiny ImageNet $\Omega^2$	reel, volleyball, rocker, police wagon, limousine, ..., (200 classes), $\omega_0^2$ .
Flower-102 $\Omega^3$	bengal, boxer, ..., (102 species of flowers), $\omega_0^3$ .
Common frame $\Omega^0$	airplane, deer, horse, ship, reel, volleyball, rocker, police wagon, limousine, ..., (200 classes from Tiny ImageNet), buttercup, alpine sea holly, ..., (102 species of flowers).

- Each DS layer has an extra output  $m^v(\{\omega_0^v\})$ .

# Learning with soft labels

- Learned network weights may not be very suitable for the new task:
  - An extra output  $m^v(\{\omega_0^v\})$  in each DS layer
  - **Soft labels** in the dataset  
Cifar-10+Tiny ImageNet with cat class  $\rightarrow$  {Egyptian cat, tabby cat, Persian cat}
- Fine-tuning processes:
  - We merge the learning sets of different DNNs into a single one
  - Given a learning sample with a nonempty label  $A_* \subseteq \Omega^0$ , the aggregated contour function  $p_V$  is normalized as

$$p'_V(\omega_i) = \frac{p_V(\omega_i)}{\sum_{j=1}^{M^0} p_V(\omega_j)}, \quad i = 1, \dots, M^0$$

- The prediction loss is

$$\mathcal{L}(p'_V, A_*) = -\log \sum_{\omega \in A_*} p'_V(\omega)$$




# Comparison study

- **Probability-to-mass fusion (PMF)**: probabilistic networks (softmax output), combination of probabilities (after extension to  $\Omega^0$ ) by Dempster's rule.
- **Bayesian-fusion (BF)**: probability networks (softmax output), probabilities computed on  $\Omega^0$  as uniform distributions, combination by Dempster's rule.
- **Probabilistic feature-combination (PFC)**: concatenation of feature vectors extracted by the three networks + softmax layer.
- **Evidential feature-combination (EFC)**: concatenation of the feature vectors extracted by the three networks + DS layer.

# Results (ResNet-101 backbones)

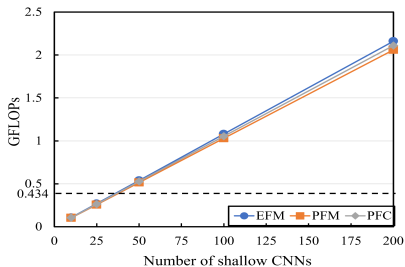
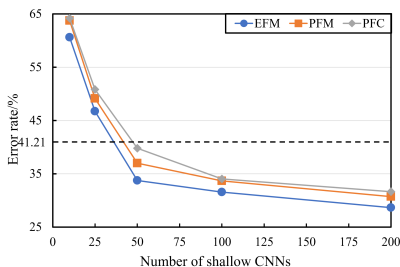
	Classifier	Tiny ImageNet	Flower-102	CIFAR-10	Overall
Before fusion	Evidential CNN	18.66	4.68	4.61	-
	Probabilistic CNN	18.70	4.69	4.66	-
After fusion without E2E learning	Proposed method	18.52	4.68	<u>3.94</u>	<u>10.31</u>
	Probability-to-mass fusion	18.54	4.69	4.42	10.40
	Bayesian-fusion	19.18	5.07	6.04	11.10
After fusion with E2E learning	<b>Proposed method</b>	<u>18.50</u>	<b>4.67</b>	<b>3.82</b>	<b>10.27</b>
	Probability-to-mass fusion	<b>18.49</b>	<u>4.68</u>	4.28	10.35
	Bayesian-fusion	18.87	4.99	5.74	10.89
	Probabilistic feature-combination	18.59	5.74	4.89	10.94
	Evidential feature-combination	21.68	5.46	7.57	12.56

# Examples

Instance/label	Before fusion			$p'$ on $\Omega^0$ after fusion
	$p'$ from Tiny ImageNet	$p'$ from CIFAR-10	$p'$ from Flower102	
 Egyptian cat	$p'(\text{Egyptian cat}) = 0.47$ $p'(\text{chihuahua}) = 0.51$	$p'(\text{cat}) = 0.87$ $p'(\text{dog}) = 0.12$	$p'(\text{buttercup}) = 0.001$ $p'(\text{camellia}) = 0$	$p'(\text{Egyptian cat}) = 0.86$ $p'(\text{chihuahua}) = 0.13$
	...	...	...	...
	$p'(\omega_0^1) = 0.001$	$p'(\omega_0^2) = 0.001$	$p'(\omega_0^3) = 0.99$	$p'(\omega_0^0) = 0.001$
 king penguin	$p'(\text{king penguin}) = 0.45$ $p'(\text{academic gown}) = 0.53$	$p'(\text{bird}) = 0.73$ $p'(\{\text{frog}\}) = 0.10$	$p'(\text{buttercup}) = 0$ $p'(\text{camellia}) = 0.001$	$p'(\text{king penguin}) = 0.98$ $p'(\text{academic gown}) = 0.01$
	...	...	...	...
	$p'(\omega_0^1) = 0.001$	$p'(\omega_0^2) = 0.004$	$p'(\omega_0^3) = 0.99$	$p'(\omega_0^0) = 0.001$
 bull frog	$p'(\text{bull frog}) = 0.38$ $p'(\text{tailed frog}) = 0.60$	$p'(\text{frog}) = 0.97$ $p'(\text{cat}) = 0.01$	$p'(\text{buttercup}) = 0.001$ $p'(\text{camellia}) = 0$	$p'(\text{bull frog}) = 0.39$ $p'(\text{tailed frog}) = 0.61$
	...	...	...	...
	$p'(\omega_0^1) = 0$	$p'(\omega_0^2) = 0$	$p'(\omega_0^3) = 0.99$	$p'(\omega_0^0) = 0$

# Combining simple DNNs for a complex classification task

- Objective: solve a complex problem with some simple DNNs, instead of a very deep one.
- Approach:
  - Decompose a complex classification problem into simple ones
  - Solve each problem by a simple DNN
  - Combine these DNNs by the evidential fusion approach



# Conclusions about multi-model fusion

- Similar results were found in other semantic-segmentation experiments.
- Conclusions: our approach
  - Combines DNNs trained from heterogeneous datasets.
  - Outperforms other decision-level or feature-level fusion strategies for combining DNNs.

# General conclusions

- Evidential DNNs
  - Assign ambiguous samples to multi-set
  - Reject outliers together with ambiguous samples
  - Have similar or even better performance for precise problems of classification and segmentation.
- Evidential fusion of heterogeneous DNNs
  - Combines DNNs with different sets of classes
  - Outperforms other decision-level or feature-level fusion strategies for combining DNNs.

# Perspectives

- Evidential DNNs

- Combine with other up-to-date CNNs and FCNs to achieve better performance
- Combine with other types of DNNs, such as recurrent neural networks for natural language processing
- Compare other uncertainty quantification methods with the DS layer, such as probabilities with a Dirichlet distribution
- More metrics to evaluate the performance of evidential DNNs, such as top k-categorical accuracy and learning curves
- Can be trained by a small dataset?

- Evidential fusion

- Compares with more information-fusion methods, such as error-correcting output codes
- Obtains the semantic relationship automatically.

# Publications

- International journals:

- **Z. Tong**, Ph. Xu, T. Denœux. An evidential classifier based on Dempster-Shafer theory and deep learning. *Neurocomputing*, August 2021, 450, 275-293
- **Z. Tong**, Ph. Xu, T. Denœux. Evidential fully convolutional network for semantic segmentation. *Applied Intelligence*, April 2021, 51, 6376-6399

- International conferences:

- **Z. Tong**, Ph. Xu, T. Denœux. ConvNet and Dempster-Shafer Theory for Object Recognition. In: *International Conference on Scalable Uncertainty Management* (SUM 2019) , pp. 368-381. Springer, Cham, France, 2019
- **Z. Tong**, Ph. Xu, T. Denœux. Fusion of evidential CNN classifiers for image classification. In: *International Conference on International Conference on Belief Functions* (BELIEF 2021) . Springer, Shanghai, China, 2021. (Best paper award)

- Available codes:

- Evidential CNN <https://github.com/tongzheng1992/E-CNN-classifier>
- Evidential FCN <https://github.com/tongzheng1992/E-FCN>

# Thank you!